Sample Introduction to Al Insight for firm members

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Subject: You now have access to AI Insight through Willow Cove Investment Group, Inc.

Al Insight is the go-to online resource for Alternative Investment research, education and compliance support. Read about the features that will help you successfully incorporate alternative investments into your practice, then complete the 4 action steps below to get started.

Al Insight offers tools functionality to help financial professionals research and conduct product-level education on Alternative Investments. With your Al Insight account, you can:

• Access an information database for Alternative Investment programs

- View Alternative Investment programs approved by your firm
- Access current program information, including the prospectus or PPM*, supplements, SEC filings and other offering materials
- Search and compare programs that meet your clients' specific suitability and investment criteria
- Quickly gather facts on offerings using the Program Summary, a simplified key-facts version of the offering documents
- o Review and compare fees & expenses using the Program Fee & Expense Report feature
- Stay current with ongoing, unbiased financial performance updates

Receive prospectus and PPM-based program-level training on Alternative Investment products

- Expand your understanding of products using program-specific Education Modules
- Demonstrate your training, which is automatically documented in your AI Insight account to help mitigate potential future liabilities regarding the sale and understanding of complex products

Easily connect with Alternative Investment Managers directly through the AI Insight platform

- Request offering documents
- Search and review sales associate profiles
- Share your profile to help receive efficient and customized sales support

See the attached document for more information.

Action Required - Access Your AI Insight Account

Step 1 - Log in to Al Insight

To establish an account with AI Insight, please click on this link:

https://app.aiinsight.com/FAProfile.aspx?QS=Vg1EzaNCIHslCudDdvXKvYwBSWIICXLKRM5/VVnGYCSvz6fxXPuQ2UNFQejj N9Pn

The requirements for your profile include the email address recognized by the Willow Cove Compliance Department for your username and a password that must be at least 8 characters. The password must also contain at least one special character of $!\#\%\&'()^*+,-./:;<=>@][[\^_{{}} or ~. Remember to note your password for future use.$

Please complete all the areas that have * next to it, select "RIA" as the function, enter your CRD/IARD number, and check the <u>FINRA licenses</u> you hold. If you do not hold any licenses, check the box next to "Do not hold any FINRA licenses".

^{*}Private Placement Memorandums (PPMs) are restricted to viewing for training purposes only. You may not print or save any private placement offering documents or marketing materials from the AI Insight platform.

Step 2 - Learn how to use the platform

Training on how to use AI Insight for research and education will take place on XXXX...

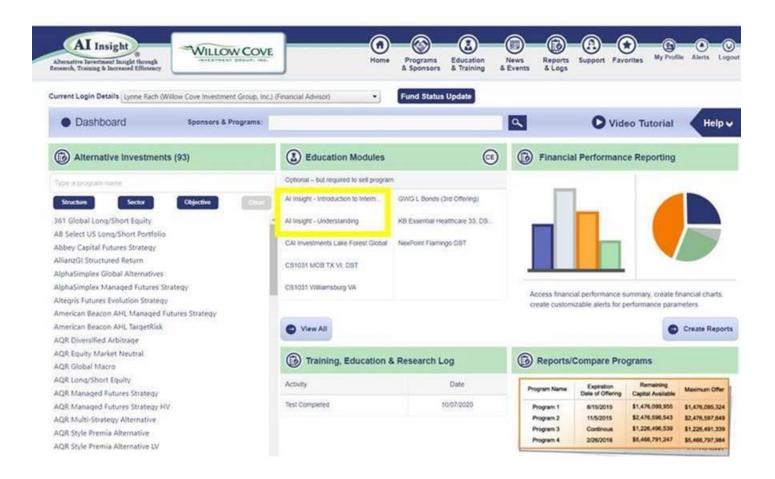
Step 3 - Complete Attestation

Review and complete the Willow Cove Alternative Investments Policies and Procedures Attestation. It is a one-question confirmation to indicate that you understand the guidelines for working with alternatives. Access the Attestation through the "Education Modules" section in Al Insight under the "Required" tab. See screenshot below Important Note: You will not have access to the individual program information and tests until you complete the Attestation



Step 4 - Research and train on the Alternative Investment programs your firm has approved for use

- On the platform you will find a listing of Alternative Investment programs for which our firm has signed selling agreements.
- Action Required: You will need to take the tests for any programs you plan to sell prior to being qualified to
 offer these investments to your clients starting XXXXX along with the tests under the "Required to Sell" covering
 1031 programs and Regulation Best Interest. See screenshot below
- These courses can be found by clicking on the "Education & Training" icon at the top, and then on the "Education Modules" page under the "Required to Sell" tab.



See the attached document that can help you get started using AI Insight.

General Questions?

Contact our Customer Care Team on Monday through Friday 8:00 a.m. to 6:00 p.m. eastern at (877) 794-9448 Ext. 710 or any time at customercare@aiinsight.com.