



Alternative Investment Insight through  
Research, Training and Increased Efficiency

**AI Insight is the industry’s leading online resource for alternative investment research, training and compliance support for Independent Broker Dealers, RIAs and Financial Advisors.**



### Research

Review independent, unbiased program summaries for hundreds of open and closed alternative investments.



### Education

Access PPM and prospectus-based education modules on product features, risks and suitability.



### Compliance

The AI Insight platform serves as documented protocol to support requirements per FINRA NTMs 03-71, 05-26, 10-22 and 12-03, and additional guidance related to complex products.

### Current Subscribers

- 160 Independent Broker Dealers and RIAs representing more than 50,000 Advisors
- 140 Alternative Investment Management firms representing hundreds of programs.

### Example Investment Types Available

Alternative Mutual Funds	Hedge Funds	BDCs
Closed-End Interval Funds	Managed Futures	Energy
Opportunity Zone Funds	Private Equity/Debt	REITs
Private Placements	Preferred Securities	1031 Exchanges



Request a live tour and ask about AI Insight subscription options.  
**Contact Andy Van Erp, Sales Consultant, at (877) 794-9448 ext. 715 or [avanerp@aiinsight.com](mailto:avanerp@aiinsight.com).**

There are thousands of alternative investments — and they're not all created equal. How do you choose products and manage ongoing client expectations? Let AI Insight help.

## Research product information on a comprehensive platform

- Review unbiased program summaries for hundreds of open and closed alternative investments.
- Analyze and compare programs based on offering price, compensation fees and more.
- Get ongoing financial performance data from quarterly and annual filings for due diligence purposes.

## Customized product-level education

- Regulatory-compliant training on product features, risks and suitability.
- Customize education modules for each approved product on your platform, incorporating your specific requirements.
- Review program fees and expenses structures to assist with program selection to understand all of the costs.

## Centralized compliance documentation

- Document training per regulatory notices and support your compliance requirements.
- Download on-demand compliance reports, including training and research logs.
- Easily access failed test question analysis reports and current or past selling agreements.

## Industry source for continuing education

- Administer Continuing Education, Firm Element and AML training.
- Choose from over 120 courses on relevant industry topics to help meet FINRA Rule 1250 and FINRA 3310 requirements.<sup>1</sup>
- Earn credit toward the CFP® and other designations.

<sup>1</sup> CE Council's Guide to Firm Element Needs Analysis and Training Plan Development ([www.cecouncil.com](http://www.cecouncil.com))



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